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915-003.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2

Re Application of :

K. Horneman et al :

Filed: Herewith :

For: DETERMINATION OF DATA RATE,
BASED ON POWER SPECTRAL DENSITY ESTIMATES

Claiming priority from:

International Application PCT/EP99/06719

Filed: September 9, 1999

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to the examination of this application and in respect of which they may have a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56(b), it is not intended to constitute an admission that any document referred to herein is "prior art" for this invention unless specifically designated as such.

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail No. EV 005526218 US in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

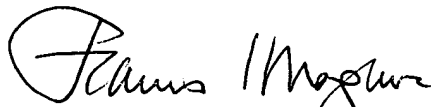

Judith Schick

Dated: 3-7-02

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined under 37 CFR 1.56(a) exists.

The enclosed references have been cited in an International Search Report in a priority application. A copy of the International Search Report is also enclosed for the convenience of the Examiner. Also enclosed is a Form PTO-1449 listing the cited references.

Respectfully submitted,



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FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 915-003.4	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT K. Horneman et al	
(Use several sheets if necessary) (37 CFR 1.98(b))		FILING DATE Herewith	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APROPRIATE
	4 8 8 7 2 8 0	12/12/89	Reisenfeld			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2 3 0 1 9 9 9	12/18/96	GB				
	0 9 4 4 2 1 2	9/22/99	EPO				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

		"An optimum generalized cross-spectrum symbol-rate detector" by IEEE Transactions on Communications, Vol. 41, No. 9, Sept. 1993,
		"On a Timing Recovery Technique for a Variable Symbol Rate Signal" J. Lee et al, IEEE 1997.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.